

Stocks and Shares ISA Transfer Form - Part 1

How to fill in this form

Use this form to transfer funds you already have in a cash ISA or stocks and shares ISA to a TFS Stocks and Shares ISA.

If you have more than one existing cash ISA or stocks and shares ISA you will need to complete separate forms for each cash ISA or stocks and shares ISA you are transferring.

When you have completed and signed this form, please send it to:

Transport Friendly Society Limited
3rd Floor, Derbyshire House
St Chad's Street
London WC1H 8AG

1. Your Details

Title Mr Mrs Ms Miss Other

First Name

Surname

UK Address

Postcode:

Tel No. Email

Occupation Employer

Date of birth

National Insurance Number

2. Details of the Cash / Stocks and Shares ISA to be transferred

Existing cash / stocks and shares ISA provider

Address of existing cash / stocks and shares ISA provider

Existing cash / stocks and shares ISA account number/reference

Amount you wish to transfer £

(Enter ALL if you wish to make a full transfer)

Amount you have contributed to ISA accounts in the current year £

Enter date of first subscription in current year

Please note:

As well as being subject to the terms of the TFS Stocks and Shares ISA receiving the transfer proceeds, the transfer will be undertaken subject to the terms and conditions applying to your cash / stocks and shares ISA. If you are not sure what conditions apply, please check with your existing cash / stocks and shares ISA provider before completing this form.

Please ensure that your personal details held with the ISA provider you are transferring from match the above details as differing details may delay your transfer.

3. Transfer Authority

A. I authorise my existing Cash / Stocks and Shares ISA provider:

- To sell my investments and transfer the ISA in cash to Transport Friendly Society Limited and, if applicable, to close my existing account.
- To provide Transport Friendly Society Limited with any information concerning the cash / stocks and shares ISA and to accept any instruction from them relating to the cash / stocks and shares ISA being transferred. I agree that a copy of this authority shall have the same validity as the original.

Where I must give notice to close or transfer part of the existing cash ISA or stocks and shares ISA, or the existing stocks and shares ISA contains an investment which is designed and intended to be held for full term and has yet to reach redemption, I instruct my existing ISA provider to either: (tick the appropriate box if applicable)

- Wait for the full notice period to end, or wait until redemption (whichever is relevant) before going ahead with the transfer.

Or

- Depending on the terms and conditions, carry out the transfer as soon as possible - I accept any consequential loss of income, capital and/or charges that may be applied.

I confirm that to the best of my belief the information in this form is true.

Signed

Date

D	D
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M	M
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Y	Y	Y	Y
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Stocks and Shares ISA Transfer Form - Part 2

How to fill in this form

Use this form to inform the Transport Friendly Society (TFS) how you want your transfer-in cash ISA and/or stocks and shares ISA to be invested in a TFS Stocks and Shares ISA.

If you have more than one existing cash ISA or stocks and shares ISA you will need to complete separate forms for each cash ISA or stocks and shares ISA you are transferring.

When you have completed and signed this form, please send it to:

Transport Friendly Society Limited
3rd Floor, Derbyshire House
St Chad's Street
London WC1H 8AG

1. Your Details

Title Mr Mrs Ms Miss Other

First Name

Surname

UK Address

Postcode:

Tel No. Email

Occupation Employer

Date of birth

National Insurance Number

2. Your Investment in the TFS ISA

Choose which fund(s) you wish to invest in:

(see attached fund sheet or visit www.tfs.uk.com/stocks-and-shares-isa/ for fund options)

- TFS Future World ESG Developed Markets (*Fund One*)
- TFS Future World ESG Multi-Index (*Fund Two*)
- Both funds (Please complete the below section)

Please enter either amount or percentage of total below (percentages must total to 100%)

Fund One Amount £

Fund Two Amount £

Percentage of total %

Percentage of total %

3. Further Investment

Your ISA transfer-in amount will be used to open a TFS Stocks and Shares ISA. However, if you have not fully subscribed your current year's allowance, you can make an additional lump sum payment by bank transfer or by cheque and/or you can set up a regular Direct Debit. Payments are subject to the overall maximum allowed by the Government. Current limits can be found on our website www.tfs.uk.com

3a. Lump Sum payment

If you would like to make an additional lump sum payment, choose whether you would like to pay by bank transfer or by cheque:

Would you like to pay by bank transfer or by cheque?

Bank Transfer Cheque

Initial lump sum investment amount

£

Bank Transfer

Account name: **Transport Friendly Society Limited** Sort Code: **60-83-01** Account: **20180683**.

Please use your National Insurance Number & Full Name as the reference.

Cheque

Please make your cheque payable to **Transport Friendly Society Limited** and write your National Insurance Number & Full Name on the back of the cheque.

3b. Regular Direct Debit

If you would like to set up a regular Direct Debit payment, choose if you would like to pay monthly/yearly and how much you would like to pay:

Monthly Collection date: 1st 8th 15th 22nd

£30 £40 £50 £100

Other

£

Minimum monthly Direct Debit is £30

Yearly Collection date: 1st 8th 15th 22nd

£500 £600 £800 £1,000

Other

£

Minimum yearly Direct Debit is £500

4. Declaration

A. I declare that:

- All subscriptions made, and to be made belong to me.
- I am 18 years of age or over.
- I have not subscribed and will not subscribe more than the overall subscription limit in total to a cash ISA and/or a stocks and shares ISA in the same tax year.
- I understand that the cash I am transferring will be transferred into a stocks and shares ISA.
- I will not subscribe to another stocks and shares ISA in the same tax year that I subscribe to this stocks and shares ISA; and
- I am resident in the United Kingdom for tax purposes or if not so resident, either perform duties which by virtue of Section 28 of Income Tax (Earnings & Pensions) Act 2003 (Crown Employees serving overseas), are treated as being performed in the United Kingdom, or I am married to or in a registered civil partnership with a person who performs such duties.
- The information given in this declaration is true and correct to the best of my knowledge and belief and I will inform Transport Friendly Society without delay any changes in my circumstances affecting any of the information in this form.
- I further declare that no advice has been given to me by Transport Friendly Society and that this purchase is on an Execution Only basis.

B. I authorise Transport Friendly Society:

- To hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash, and
- To make on my behalf any claims to relief from tax in respect of ISA investments

I agree to the TFS ISA Terms and Conditions.

I confirm that to the best of my belief the information in this form is true.

Signed Date

D	D	M	M	Y	Y	Y	Y
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Responsible Investment

Our ISA funds are designed to respond to environment, social and governance (ESG) risks such as climate change. They aim to increase exposure to companies with a favourable ESG profile, while decreasing investments in companies with a lower ESG score.

By doing so, the funds aim to influence positive change - ensuring companies are actively combatting the issues of climate change, while managing risk and generating sustainable long-term returns.

Unit-linked funds

Our funds are unit-linked, which means that with every contribution you make, you are allocated units. The number of units would depend on the unit price applicable on the date of allocation.

The value of your account is the total number of units held by you multiplied by the unit price applicable on the date of calculation.

Switching funds

You can switch between funds at no cost.

Based on future pricing

When you contribute to, withdraw from your account or switch between funds, the value is calculated based on the next declared unit price. This is a practice that aims to protect the funds. The unit price is calculated weekly, but this may vary.

Our funds

We offer two funds, both of which aim to provide a combination of growth and income. However, they provide a different level of risk/return and approach to investment.

TFS Future World ESG Developed Markets Fund

Invests in:

Shares in companies that demonstrate good environmental, social and governance (ESG) efforts in developed countries.

(Asset Class: Equity)

Approach:

Tracking the performance of the ESG Index - focuses investments in companies with a favourable ESG profile compared with others.

Level of risk:

Higher risk / return

Appeals to:

Individuals looking to invest responsibly, by tilting to responsible and sustainable companies.

TFS Future World ESG Multi-Index Fund

Invests in:

Bonds, shares, money market instruments, deposits, cash and indirectly to alternative asset classes and property.

(Asset Class: Multi Asset)

Approach:

Invests at least 50% in investments that incorporate environmental, social and governance (ESG) criteria, while staying within its pre-determined risk profile.

Level of risk:

Medium risk / smoother returns

Appeals to:

Individuals with a more cautious approach to investment, who are also looking to incorporate a responsible investment method.

Please remember that the value of your investments can go down as well as up, so you may get back less than what you pay in.



Instruction to your Bank or Building Society to pay by Direct Debit

Please fill in the whole form including official use box using a ball point pen and send it to:

Transport Friendly Society
 3rd Floor Derbyshire House
 St Chad's Street
 London
 WC1H 8AG

Service User Number

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FOR TRANSPORT FRIENDLY SOCIETY LIMITED OFFICIAL USE ONLY
 This is not part of the instruction to your Bank or Building Society

Name(s) of Account Holder(s)

Bank/Building Society account number

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Branch Sort Code

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Name and full postal address of your Bank or Building Society

To the Manager:	Bank/Building Society
Address	
Postcode	

Instruction to your Bank or Building Society

Please pay Transport Friendly Society Limited Direct Debits from the account detailed in this Instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this Instruction may remain with Transport Friendly Society Limited and, if so, details will be passed electronically to my Bank/Building Society.

Signature(s)
Date

Reference

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Banks and Building Societies may not accept Direct Debit Instructions for some type of account

This guarantee should be detached and retained by the Payer.

The Direct Debit Guarantee



- This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits
- If there are any changes to the amount, date or frequency of your Direct Debit Transport Friendly Society Limited will notify you 10 working days in advance of your account being debited or as otherwise agreed. If you request Transport Friendly Society Limited to collect a payment, confirmation of the amount and date will be given to you at the time of the request.
- If an error is made in the payment of your Direct Debit, by Transport Friendly Society Limited or your bank or building society, you are entitled to a full and immediate refund of the amount paid from your bank or building society
- If you receive a refund you are not entitled to, you must pay it back when Transport Friendly Society Limited asks you to
- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.

5. General Data Protection Regulation - Consent for Use of Personal Data

The Transport Friendly Society Limited (the Society) collects your personal information when you apply for a product with us. The information disclosed by you in this application will be used by the Society to set up and manage the product you have applied for. The Society will not share your data with any third parties for any purposes subject to its legal or statutory obligations. If you would like more information on how the Society uses your personal information, please see our Privacy Notice which can be found on our website.

The Society may wish to contact you in the future with marketing information relating to its other products and services that may be of interest to you. However, the Society will only do this if you are happy to receive such information.

Once your marketing preferences have been updated, they will remain in place until you let the Society know otherwise. You can update the Society at any time, opting in or out of marketing, or change the ways in which you would prefer the Society to contact you.

You can update your marketing preferences by contacting the Society:

Phone: 020 7833 2616

Email: info@tfs.uk.com

If you wish to hear about such products please tick the boxes below. If you do not wish to be added to our marketing list, simply leave the tick boxes below empty.

I am happy to be contacted and prefer to be contacted by: Email Post
